

Author:  
Compliance Manager

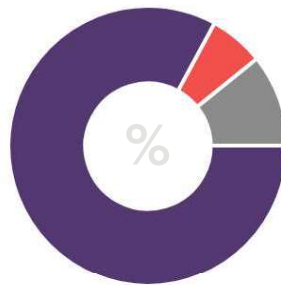
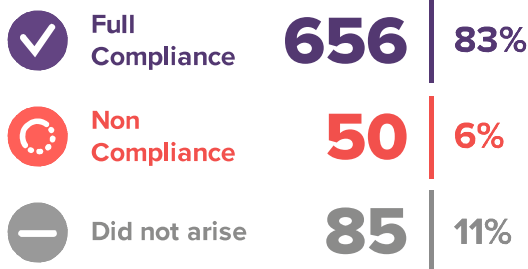
To:  
Audit & Risk Committee

Purpose:  
Report on outcome of April  
2019 legal compliance survey

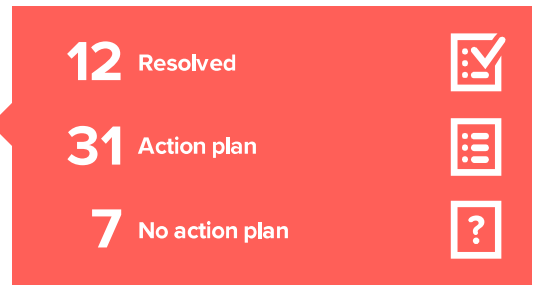
Date:  
30 Apr 2019

Period covered	People finished	Obligations completed	Acts & Regs
01 Apr 2018 - 31 Mar 2019	23/23	791/791	31

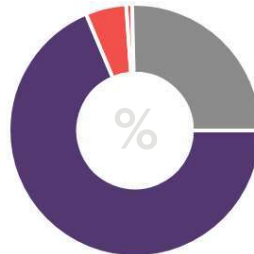
### Results:



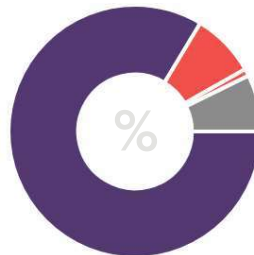
### Status of corrective actions:



### Finance Team



### Operations Team



### Impact of recent law changes on ComplyWorld Ltd



There were a small number of law changes that had a low impact, including obligations on tenants under the new Fire Safety, Evacuation Procedures and Evacuation Schemes Regulations 2018.